



# A Compendium Sentencing Guideline of The Eastern Caribbean Supreme Court

## Offences of Dishonesty Re-Issue 12<sup>th</sup> April 2021

This Sentencing Guideline is made pursuant to the Eastern Caribbean Supreme Court (Sentencing Guidelines) Rules, 2019.<sup>1</sup>

The previous Sentencing Guideline for Offences of Dishonesty which came into effect on the 4<sup>th</sup> day of December, 2020 is revoked and replaced by this Sentencing Guideline.

### INTRODUCTION

This compendium sentencing guideline will deal with the following offences of dishonesty:

- Theft (page 4);
- Robbery (page 11);
- Burglary (page 19);
- Aggravated burglary (page 28);

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<sup>1</sup> Made pursuant to Rule 7(1) for the Territory of the Virgin Islands, Montserrat, Antigua and Barbuda, Saint Kitts and Nevis, Commonwealth of Dominica, Saint Lucia, Saint Vincent and the Grenadines and Grenada; and Made pursuant to Rule 8(1) for Anguilla.

This guideline should be read in conjunction with Practice Directions 8 A-D, numbers 1 to 4 of 2019 [7 A-D Anguilla]

- Corruption (page 37);
- Blackmail (page 45);
- Fraud (page 53); and
- Money laundering (page 61).

Sections concerning other types of dishonesty may be added in time.

## APPLICABILITY OF GUIDELINE

In sentencing for these offences, the Chief Justice has issued guidelines<sup>2</sup> and the court must apply the relevant guidelines and sentence accordingly, unless to do so would not be in the interests of justice. It is only permissible to depart from the guidelines in exceptional circumstances, where such departure can be justified. Clear reasons for not applying the guideline must be given when passing sentence.

It is expected that every court will follow the steps below, with each relevant step being identified to the offender in public before the sentence is passed. Starting points and ranges apply to all adult offenders<sup>3</sup> whether they have pleaded guilty or been convicted after a trial. Credit for a guilty plea is taken into consideration only at Step 3.

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<sup>2</sup> As at 12<sup>th</sup> April 2021; this guideline, being largely minor edits, replaces the publication effective from 4<sup>th</sup> December 2020.

<sup>3</sup> For persons below the age of 18, see the **Practice Direction 8D on Sentencing Guidelines, No.4 of 2019**.

**Step 1**

Consider the seriousness of the offence. Find the starting point by consulting the guideline and the grid below. Then adjust the figure within the range for the aggravating and mitigating factors of the offence.

**Step 2**

Adjust the figure within the range for the aggravating and mitigating factors affecting the offender.

**Step 3**

Adjust the figure on assessing discount for any plea of guilty, if applicable.

**Step 4**

Adjust the figure on assessing totality if sentencing for more than one offence.

**Step 5**

Give credit for time served on remand.

**Step 6**

Finally, consider ancillary orders, confiscation, compensation, etc, if applicable.

**Pass the Sentence**

The court must give reasons, explaining the construction of the sentence in a way that can be readily understood by the defendant, in particular justifying any departure from the guideline.

## THEFT – section 1

In the nine member states and territories of the ECSC there are sometimes different words used to describe the dishonest appropriation of property belonging to others, (eg the words larceny or stealing are often used), and there are sometimes different maximum sentences. For the purposes of this guideline, the word 'theft' will be used generically, to embrace a wide variety of offences of dishonesty, including receiving stolen goods and offences involving fraud or deception, and whether as conspiracy or as substantive offences.

### STEP 1

The first step in constructing a sentence is to establish the starting point for the offence.

A theft case requires an assessment of the seriousness of the offence and its consequences by reference to the harm caused. In assessing seriousness, this should include reference to the culpability of the offender.

To establish the starting point for the offence within the relevant range, there are four stages within Step 1.

### FIRST STAGE

The first stage is to consider consequence by assessing the harm caused by the offence. This should include an assessment of the evidence.

CONSEQUENCE may be demonstrated by the following, apart from value:

- Items stolen were of substantial value to the victim, regardless of monetary worth
- High level of inconvenience caused to the victim or others
- Consequential financial harm to victim or others (eg praedial larceny)
- Emotional distress
- Fear or loss of confidence caused by the crime
- Risk of or actual injury to persons or damage to property
- Impact of theft on a business
- Damage to heritage assets

Categories arising from consequence are as follows:

**CONSEQUENCE – Category 1 - Highest**

Very high value goods (above \$250000 in the High Court, above \$10000 in the Magistrates Court), or  
Severe harm to the victim or others.

**CONSEQUENCE - Category 2 - High**

High value goods (\$50000 to \$250000 in the High Court, \$5000 to \$10000 in the Magistrates Court), or  
Substantial harm to the victim or others.

**CONSEQUENCE - Category 3 - Medium**

Medium value goods (\$10000 to \$50000 in the High Court, \$500 to \$5000 in the Magistrates Court), or  
Significant harm to the victim or others.

**CONSEQUENCE – Category 4 - Lesser**

Low value goods (up to \$10000 in the High Court and up to \$500 in the Magistrates Court), or  
Little or no harm to the victim or others.

## SECOND STAGE

The second stage is to consider seriousness by assessing the culpability of the offender.

Levels of seriousness may be demonstrated by one or more of the following:

### **SERIOUSNESS – Level A - High**

- A leading role where offending is part of a group activity
- Involvement of others through coercion, intimidation or exploitation
- Breach of a high degree of trust
- Sophisticated nature of offence/significant planning
- Theft involving intimidation or the use or threat of force
- Looting
- Targeting of tourists
- Deliberately targeting victim on basis of vulnerability
- Theft involving breaking and entering

### **SERIOUSNESS – Level B - Medium**

- A significant role where offending is part of a group activity
- Some degree of planning involved
- Breach of some degree of trust
- All other cases where characteristics for levels A or C are not present

### **SERIOUSNESS – Level C - Lesser**

- Performed limited function under direction
- Involved through coercion, intimidation or exploitation
- Little or no planning
- Limited awareness or understanding of offence

This guideline applies to theft sentences both in the High Court and the Magistrates Court. Disparity in sentence owing to lower sentencing powers in the Magistrates court may arise for similar offending. If so, adjustment in applying the guideline is appropriate.

**THIRD STAGE**

Having determined the consequence and the level of seriousness find the starting point by consulting the grid below.

Maximum sentence is 'x'.

Percentages are approximations and may not divide neatly, so that some adjustment is permissible.

	Seriousness – Level A	Seriousness – Level B	Seriousness – Level C
<b>Consequence – Category 1</b>	Starting point 75% x Range 60%-90% x	Starting point 60% x Range 45%-75% x	Starting point 50% x Range 35%-65% x
<b>Consequence – Category 2</b>	Starting point 50% x Range 35%-65% x	Starting point 35% x Range 20%-50% x	Starting point 20% x Range 10%-30% x
<b>Consequence – Category 3</b>	Starting point 35% x Range 20%-50% x	Starting point 20% x Range 10%-30% x	Starting point 10% x Range non-custodial-20% x
<b>Consequence – Category 4</b>	Starting point 10% x Range non-custodial–20%	Starting point 5% x Range non-custodial–15%	Starting point Likely non-custodial

## FOURTH STAGE

Having determined the starting point, consider the following non-exhaustive list of aggravating and mitigating factors of the offence and adjust upwards or downwards if required, taking care not to double-count factors considered in stages 1 and 2.

### **AGGRAVATING FACTORS** of the offence

- Stealing goods as a business
- Steps taken to prevent the victim reporting
- Offender motivated by intention to cause harm or out of revenge
- Offence committed over sustained period of time
- Attempts to conceal/dispose of evidence
- Blame wrongly placed on others
- Attempt to conceal identity

### **MITIGATING FACTORS** of the offence

- Inappropriate degree of trust or responsibility if it helps to explain why the offence occurred
- Involved because of coercion, intimidation or exploitation
- Minor role in group offending
- Property restored



## **STEP 2**

Adjust the figure within the range for the aggravating and mitigating factors affecting the offender. These may include:

### **AGGRAVATING FACTORS** of offender

- Previous convictions for theft offences
- Relevant convictions for other offences
- Offence committed whilst on bail

### **MITIGATING FACTORS** of offender

- Good character
- Physical or mental disability or ill-health
- Genuine remorse, particularly where evidenced by voluntary reparation to the victim
- Steps taken to address offending behavior
- Youth and/or lack of maturity where it explains offending
- Offending motivated by genuinely desperate circumstances
- Assistance given to the authorities

## **STEP 3**

Credit should be given for a guilty plea as appropriate. A reduction of one-third should be given for a guilty plea entered at the earliest practicable opportunity. Credit will usually reduce significantly the later the plea.

## **STEP 4**

Adjust the figure on assessing totality if sentencing for more than one offence.

### **STEP 5**

Credit must be given for time spent on remand for the relevant offence, to be calculated with precision<sup>4</sup>.

### **STEP 6**

Finally, consider ancillary orders, confiscation, compensation, etc, if applicable.

### **Pass the Sentence**

The court must give reasons, explaining the construction of the sentence in a way that can be readily understood by the defendant, in particular justifying any departure from the guideline.

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<sup>4</sup> See the requirements for assessing time on remand in **Gomes v The State 2015** UKPC 8, see para 12; **Shonovia Thomas v The Queen** Appeal no.6 of 2010; and **Romeo Da Costa Hall v The Queen 2011** CCJ 6.

## ROBBERY – section 2

In the nine member states and territories of the ECSC there are different approaches to robbery, particularly with or without firearms, and there are often different maximum sentences. For the purposes of this guideline, the word ‘robbery’ will be used generically to cover all types, and whether as conspiracy or a substantives offence.

### **STEP 1**

The first step in constructing a sentence is to establish the starting point for the offence.

A robbery case requires an assessment of the seriousness of the offence and its consequences by reference to the harm caused. In assessing seriousness, this should include reference to the culpability of the offender.

To establish the starting point for the offence within the relevant range, there are four stages within Step 1.

## FIRST STAGE

The first stage is to consider consequence by assessing the harm caused by the offence. This should include an assessment of the evidence.

CONSEQUENCE may be demonstrated by one or more of the following, apart from value of the items taken:

- Items stolen were of significant value to the victim, regardless of monetary worth
- High level of inconvenience caused to the victim or others
- Consequential financial harm to victim or others
- Emotional distress
- Offence involving home invasion
- Fear or loss of confidence caused by the offence
- Risk of or actual injury to persons or damage to property
- Impact of offence on a business

Categories arising from consequence are as follows:

### **CONSEQUENCE - Category 1 - High**

Serious physical and/or psychological harm caused to the victim

Serious detrimental effect on a business activity

### **CONSEQUENCE - Category 2 - Medium**

Some physical and/or psychological harm caused to the victim

Some detrimental effect on a business activity

### **CONSEQUENCE - Category 3 - Lesser**

No or minimal physical or psychological harm caused to the victim

No or minimal detrimental effect on a business activity

## SECOND STAGE

The second stage is to consider seriousness by assessing the culpability of the offender.

Levels of seriousness may be demonstrated by one or more of the following:

### **SERIOUSNESS – Level A - High**

- Use of any weapon to inflict significant injury
- Production of a firearm or imitation firearm to threaten violence
- Use of substantial force or violence in the commission of the offence
- Substantial duration of offence
- Substantial risk of serious harm or injury to the public
- A leading role where offending is a group activity
- Breach of a high degree of trust
- Sophisticated nature of offence/significant planning

### **SERIOUSNESS – Level B - Medium**

- Production of a weapon other than a firearm or imitation firearm to threaten violence
- Use of significant force or violence in the commission of the offence
- Threat of violence by any weapon (but which is not produced)
- A significant role where offending is a group activity
- Some degree of planning involved
- Abuse of position of trust
- Targeting victim on basis of vulnerability due to personal circumstances
- Targeting tourists and visitors
- Other cases where characteristics for levels A or C are not present

**SERIOUSNESS – Level C - Lesser**

- Involved through coercion, intimidation or exploitation
- Little or no planning
- Limited awareness or understanding of offence
- Threat or use of minimal force
- No weapon nor threat of one

### THIRD STAGE

Having determined the consequence and level of seriousness find the starting point by consulting the grid below.

Maximum sentence is 'x'<sup>5</sup>.

Percentages are approximations and may not divide neatly, so that some adjustment is permissible.

	<b>Seriousness – Level A</b>	<b>Seriousness – Level B</b>	<b>Seriousness – Level C</b>
<b>Consequence - Category 1</b>	Starting point 75% x Range 60%-90% x	Starting point 60% x Range 45%-75% x	Starting point 30% x Range 15%-45% x
<b>Consequence - Category 2</b>	Starting point 60% x Range 45%-75% x	Starting point 40% x Range 25%-55% x	Starting point 20% x Range 5%-35% x
<b>Consequence - Category 3</b>	Starting point 45% x Range 30%–60%	Starting point 20% x Range 5%-35%	Starting point 10% x Range Non-custodial–20%

<sup>5</sup> Where the maximum sentence is life imprisonment, simply for the purposes of the calculation 'x' is to be treated as 30 years, (but please note that this does not have the effect of meaning a sentence where the maximum is life can never be more than 30 years).

## FOURTH STAGE

Having determined the starting point, consider the following non-exhaustive list of aggravating and mitigating factors of the offence and adjust upwards or downwards if required, taking care not to double-count factors considered in stages 1 and 2.

### **AGGRAVATING FACTORS** of the offence

- Steps taken to prevent the victim reporting
- Offence committed over sustained period of time
- Attempts to conceal/dispose of evidence
- Restraint, abduction or additional degradation of the victim
- Involving others through coercion, intimidation or exploitation
- Attempt to conceal identity (eg wearing a mask)
- Prevalence of the offence
- Offence motivated by greed or desire for luxury

### **MITIGATING FACTORS** of the offence

- Minor role in group offending
- Property restored



## **STEP 2**

Adjust the figure within the range for the aggravating and mitigating factors affecting the offender.

### **AGGRAVATING FACTORS** of offender

- Previous convictions for robbery offences
- Relevant convictions for other offences
- Offence committed whilst on bail

### **MITIGATING FACTORS** of offender

- Good character
- Physical or mental disability or ill-health
- Genuine remorse, particularly where evidenced by voluntary reparation to the victim
- Steps taken to address offending behavior
- Youth and/or lack of maturity where it explains offending
- Offending motivated by genuinely desperate circumstances
- Assistance given to the authorities

## **STEP 3**

Credit should be given for a guilty plea as appropriate. A reduction of one-third should be given for a guilty plea entered at the earliest practicable opportunity. Credit will usually reduce significantly the later the plea.

## **STEP 4**

Adjust the figure on assessing totality if sentencing for more than one offence. If appropriate, dangerousness should also be considered.

**STEP 5**

Credit must be given for time spent on remand for the relevant offence, to be calculated with precision<sup>6</sup>.

**STEP 6**

Finally, consider ancillary and restraining orders, confiscation, compensation, etc, if applicable.

**Pass the Sentence**

The court must give reasons, explaining the construction of the sentence in a way that can be readily understood by the defendant, in particular justifying any departure from the guideline.

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<sup>6</sup> See the requirements for assessing time on remand in **Gomes v The State 2015** UKPC 8, see para 12; **Shonovia Thomas v The Queen** Appeal No.6 of 2010; and **Romeo Da Costa Hall v The Queen 2011** CCJ 6.

## **BURGLARY – section 3**

In the nine member states and territories of the ECSC there are different words used to describe entering premises with intent to commit an offence, (eg the words ‘burglary’ and ‘housebreaking’ are often used), and there are often different maximum sentences. For the purposes of this guideline, the word ‘burglary’ will be used generically to cover all non-aggravated types, and whether as conspiracy, attempt, or a substantive offence. Where charged, sentences for aggravated burglary will be a separate guideline.

### **STEP 1**

The first step in constructing a sentence is to establish the starting point for the offence.

A burglary case requires an assessment of the seriousness of the offence and its consequences by reference to the harm caused. In assessing seriousness, this should include reference to the culpability of the offender. Where an attempt or conspiracy, the court should consider the intended consequences and seriousness.

To establish the starting point for the offence within the relevant range, there are four stages within Step 1.

## FIRST STAGE

The first stage is to consider consequence by assessing the harm caused by the offence. This should include an assessment of the evidence.

CONSEQUENCE may be demonstrated by one or more of the following, apart from value of the items taken:

- Theft of items/damage to property of significant value to the victim, regardless of monetary worth
- Damage to property consequent on breaking in
- Soiling, ransacking or vandalism of property
- High level of inconvenience caused to the victim or others
- Consequential financial harm to victim or others
- Emotional distress
- Offence involving home invasion
- Fear or loss of confidence caused by the offence
- Risk of or actual injury to persons
- Impact of offence on a business

Categories arising from consequence are as follows:

### **CONSEQUENCE - Category 1 - High**

Serious physical and/or psychological harm caused to the victim and/or serious damage to the premises

Serious detrimental effect on a business activity or home enjoyment

Value of goods taken above \$50000 in the High Court or above \$5000 in the Magistrates Court

**CONSEQUENCE - Category 2 - Medium**

Some physical and/or psychological harm caused to the victim and/or some damage to the premises

Some detrimental effect on a business activity or home enjoyment

Value of goods from \$5000 up to \$50000 in the High Court or from \$500 up to \$5000 in the Magistrates Court

**CONSEQUENCE - Category 3 - Lesser**

No or minimal physical or psychological harm caused to the victim and/or no or minimal damage caused to the premises

No or minimal detrimental effect on a business activity or home enjoyment

Premises unoccupied

Value of goods taken below \$5000 in the High Court and below \$500 in the Magistrates Court

## SECOND STAGE

The second stage is to consider seriousness by assessing the culpability of the offender.

Levels of seriousness may be demonstrated by one or more of the following:

### **SERIOUSNESS – Level A - High**

- Use of any weapon to inflict injury
- Using a firearm or imitation firearm to threaten violence
- Use of substantial force or violence in the commission of the offence
- Substantial duration of offence where victim is present
- Substantial risk of serious harm or injury to the public
- A leading role where offending is a group activity
- Abuse of position of trust
- Sophisticated nature of offence/significant planning
- Restraint, abduction or additional degradation of the victim

### **SERIOUSNESS – Level B - Medium**

- Using a weapon other than a firearm or imitation firearm to threaten violence
- Use of significant force or violence in the commission of the offence
- Threat of violence by any weapon (but which is not produced)
- A significant role where offending is a group activity
- Some degree of planning involved
- Targeting victim on basis of vulnerability
- Targeting tourists and visitors
- Victim is particularly vulnerable due to personal circumstances which can include mental or physical disability or poverty
- Offence committed as part of a riotous social gathering
- Ordering victim out of property to facilitate stealing
- Other cases where characteristics for levels A or C are not present

**SERIOUSNESS – Level C - Lesser**

- Involved through coercion, intimidation or exploitation
- Little or no planning
- Limited awareness or understanding of offence
- Threat or use of minimal force
- No weapon brandished nor threat of one
- Limited intrusion into property

**THIRD STAGE**

Having determined the consequence and level of seriousness find the starting point by consulting the grid below.

Maximum sentence is 'x'.

Percentages are approximations and may not divide neatly, so that some adjustment is permissible.

	<b>Seriousness – Level A</b>	<b>Seriousness – Level B</b>	<b>Seriousness – Level C</b>
<b>Consequence - Category 1</b>	Starting point 75% x Range 60%-90% x	Starting point 60% x Range 45%-75% x	Starting point 30% x Range 15%-45% x
<b>Consequence - Category 2</b>	Starting point 60% x Range 45%-75% x	Starting point 40% x Range 25%-55% x	Starting point 20% x Range 5%-35% x
<b>Consequence - Category 3</b>	Starting point 45% x Range 30%-60% x	Starting point 20% x Range 5%-35% x	Starting point 15% x Range Non-custodial–30% x



## FOURTH STAGE

Having determined the starting point, consider the following non-exhaustive list of aggravating and mitigating factors of the offence and adjust upwards or downwards if required, taking care not to double-count factors considered in stages 1 and 2.

### **AGGRAVATING FACTORS** of the offence

- Equipped for burglary (eg implements carried and/or use of vehicle)
- Steps taken to prevent the victim reporting
- Offence committed over sustained period of time with victim not present (eg squatting)
- Attempts to conceal/dispose of evidence
- Involving others through coercion, intimidation or exploitation
- Attempt to conceal identity (eg wearing a mask)
- Offence committed whilst under the influence by alcohol or drug abuse
- Prevalence of the offence
- Community impact
- Offence motivated by greed or desire for luxury
- Offence committed at night (where not an element of the offence)
- Children present

### **MITIGATING FACTORS** of the offence

- Minor role in group offending
- Property restored

## **STEP 2**

Adjust the figure within the range for the aggravating and mitigating factors affecting the offender.

### **AGGRAVATING FACTORS** of offender

- Previous convictions for burglary offences
- Relevant convictions for other offences
- Offence committed whilst on bail

### **MITIGATING FACTORS** of offender

- Good character
- Physical or mental disability or ill-health
- Genuine remorse, particularly where evidenced by voluntary reparation to the victim
- Steps taken to address offending behavior
- Youth and/or lack of maturity where it explains offending
- Offending motivated by genuinely desperate circumstances
- Assistance given to the authorities

## **STEP 3**

Credit should be given for a guilty plea as appropriate. A reduction of one-third should be given for a guilty plea entered at the earliest practicable opportunity. Credit will usually reduce significantly the later the plea.

## **STEP 4**

Adjust the figure on assessing totality if sentencing for more than one offence. If appropriate, dangerousness should also be considered.

### **STEP 5**

Credit must be given for time spent on remand for the relevant offence, to be calculated with precision<sup>7</sup>.

### **STEP 6**

Finally, consider ancillary and restraining orders, confiscation, compensation, etc, if applicable.

### **Pass the Sentence**

The court must give reasons, explaining the construction of the sentence in a way that can be readily understood by the defendant, in particular justifying any departure from the guideline.

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<sup>7</sup> See the requirements for assessing time on remand in **Gomes v The State 2015** UKPC 8, see para 12; **Shonovia Thomas v The Queen** Appeal No.6 of 2010; and **Romeo Da Costa Hall v The Queen 2011** CCJ 6.

## **AGGRAVATED BURGLARY – section 4**

In the member states and territories of the ECSC the offence of aggravated burglary carries different maximum sentences.

### **STEP 1**

The first step in constructing a sentence is to establish the starting point for the offence.

A burglary case requires an assessment of the seriousness of the offence and its consequences by reference to the harm caused. In assessing seriousness, this should include reference to the culpability of the offender. Where an attempt or conspiracy, the court should consider the intended consequences and seriousness.

To establish the starting point for the offence within the relevant range, there are four stages within Step 1.

## FIRST STAGE

The first stage is to consider consequence by assessing the harm caused by the offence. This should include an assessment of the evidence.

CONSEQUENCE may be demonstrated by one or more of the following, apart from value of the items taken:

- Theft of items/damage to property of significant value to the victim, regardless of monetary worth
- Damage to property consequent on breaking in
- Soiling, ransacking or vandalism of property
- High level of inconvenience caused to the victim or others
- Consequential financial harm to victim or others
- Emotional distress
- Offence involving home invasion
- Fear or loss of confidence caused by the offence
- Risk of or actual injury to persons
- Impact of offence on a business

Categories arising from consequence are as follows:

### **CONSEQUENCE - Category 1 - High**

Serious physical and/or psychological harm caused to the victim and/or serious damage to the premises

Serious detrimental effect on a business activity or home enjoyment

Value of goods taken over \$50000 in the High Court

**CONSEQUENCE - Category 2 - Medium**

Some physical and/or psychological harm caused to the victim and/or some damage to the premises

Some detrimental effect on a business activity or home enjoyment

Value of goods from \$5000 up to \$50000 in the High Court

**CONSEQUENCE - Category 3 - Lesser**

No or minimal physical or psychological harm caused to the victim and/or no or minimal damage caused to the premises

No or minimal detrimental effect on a business activity or home enjoyment

Value of goods taken less than \$5000 in the High Court

## SECOND STAGE

The second stage is to consider seriousness by assessing the culpability of the offender.

Levels of seriousness may be demonstrated by one or more of the following:

### **SERIOUSNESS – Level A - High**

- Use of any weapon to inflict injury
- Use of a firearm or imitation firearm to threaten violence
- Use of substantial force or violence in the commission of the offence
- Substantial duration of offence where victim is present
- Substantial risk of serious harm or injury to the public
- A leading role where offending is a group activity
- Abuse of position of trust
- Sophisticated nature of offence/significant planning
- Restraint, abduction or additional degradation of the victim

### **SERIOUSNESS – Level B - Medium**

- Use of a weapon other than a firearm or imitation firearm to threaten violence
- Use of significant force or violence in the commission of the offence
- Threat of violence by any weapon (but which is not produced)
- A significant role where offending is a group activity
- Some degree of planning involved
- Targeting victim on basis of vulnerability
- Targeting tourists and visitors
- Victim is particularly vulnerable due to personal circumstances which can include mental or physical disability or poverty
- Offence committed as part of a riotous social gathering
- Ordering victim out of property to facilitate stealing
- Other cases where characteristics for levels A or C are not present

**SERIOUSNESS – Level C - Lesser**

- Involved through coercion, intimidation or exploitation
- Little or no planning
- Limited awareness or understanding of offence
- Threat or use of minimal force
- No weapon used nor threat of one
- Limited intrusion into property



**THIRD STAGE**

Having determined the consequence and level of seriousness find the starting point by consulting the grid below.

Maximum sentence is 'x'<sup>8</sup>.

Percentages are approximations and may not divide neatly, so that some adjustment is permissible.

	<b>Seriousness – Level A</b>	<b>Seriousness – Level B</b>	<b>Seriousness – Level C</b>
<b>Consequence - Category 1</b>	Starting point 50% x Range 35%-65% x	Starting point 40% x Range 25%-55% x	Starting point 30% x Range 15%-45% x
<b>Consequence - Category 2</b>	Starting point 40% x Range 25%-55% x	Starting point 30% x Range 15%-45% x	Starting point 20% x Range 5%-35% x
<b>Consequence - Category 3</b>	Starting point 30% x Range 15%-45% x	Starting point 20% x Range 5%-35% x	Starting point 10% x Range 5%–25% x

<sup>8</sup> Where the maximum sentence is life imprisonment, simply for the purposes of the calculation 'x' is to be treated as 30 years, (but please note that this does not have the effect of meaning a sentence where the maximum is life can never be more than 30 years).

## FOURTH STAGE

Having determined the starting point, consider the following non-exhaustive list of aggravating and mitigating factors of the offence and adjust upwards or downwards if required, taking care not to double-count factors considered in stages 1 and 2.

### **AGGRAVATING FACTORS** of the offence

- Equipped for burglary (eg implements carried and/or use of vehicle)
- Steps taken to prevent the victim reporting
- Offence committed over sustained period of time
- Attempts to conceal/dispose of evidence
- Involving others through coercion, intimidation or exploitation
- Attempt to conceal identity (eg wearing a mask)
- Offence committed whilst under the influence by alcohol or drug abuse
- Prevalence of the offence
- Community impact
- Offence motivated by greed or desire for luxury
- Offence committed at night (where not an element of the offence)
- Children present

### **MITIGATING FACTORS** of the offence

- Minor role in group offending
- Property restored

## **STEP 2**

Adjust the figure within the range for the aggravating and mitigating factors affecting the offender.

### **AGGRAVATING FACTORS** of offender

- Previous convictions for burglary offences
- Relevant convictions for other offences
- Offence committed whilst on bail

### **MITIGATING FACTORS** of offender

- Good character
- Physical or mental disability or ill-health
- Genuine remorse, particularly where evidenced by voluntary reparation to the victim
- Steps taken to address offending behavior
- Youth and/or lack of maturity where it explains offending
- Offending motivated by genuinely desperate circumstances
- Assistance given to the authorities

## **STEP 3**

Credit should be given for a guilty plea as appropriate. A reduction of one-third should be given for a guilty plea entered at the earliest practicable opportunity. Credit will usually reduce significantly the later the plea.

## **STEP 4**

Adjust the figure on assessing totality if sentencing for more than one offence. If appropriate, dangerousness should also be considered.

### **STEP 5**

Credit must be given for time spent on remand for the relevant offence, to be calculated with precision<sup>9</sup>.

### **STEP 6**

Finally, consider ancillary and restraining orders, confiscation, compensation, etc, if applicable.

### **Pass the Sentence**

The court must give reasons, explaining the construction of the sentence in a way that can be readily understood by the defendant, in particular justifying any departure from the guideline.

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<sup>9</sup> See the requirements for assessing time on remand in **Gomes v The State 2015** UKPC 8, see para 12; **Shonovia Thomas v The Queen** Appeal No.6 of 2010; and **Romeo Da Costa Hall v The Queen 2011** CCJ 6.

## **CORRUPTION – section 5**

In the member states and territories of the ECSC offences of corruption include bribery and any other offence where a person commits an act that is inconsistent with his/her entrusted responsibilities by unlawfully using his/her influence or office to receive or give a benefit or advantage. On the states and territories, for similar offences there are often different maximum sentences. For the purposes of this guideline, the word 'corruption' will be used generically to cover all types, and whether as conspiracy or a substantive offence.

### **STEP 1**

The first step in constructing a sentence is to establish the starting point for the offence.

A corruption case requires an assessment of the seriousness of the offence and its consequences by reference to the harm caused. In assessing seriousness, this should include reference to the culpability of the offender. Where an attempt or conspiracy, the court should consider the intended consequences and seriousness.

To establish the starting point for the offence within the relevant range, there are four stages within Step 1.

## FIRST STAGE

The first stage is to consider consequence by assessing the harm, or risk of harm, caused by the offence. Harm is assessed in relation to any impact caused by the offending (whether to identifiable victims or in a wider context) and the actual or intended gain to the offender.

'Risk of harm' involves consideration of both the likelihood of harm occurring and the extent of it if it does. Risk of harm is less serious than the same actual harm. Where the offence has caused risk of harm but no (or much less) actual harm, the normal approach is to move to the next category of harm down. This may not be appropriate if either the likelihood or extent of potential harm is particularly high.

Categories arising from consequence are as follows:

### **CONSEQUENCE - Category 1 - High**

Serious undermining of the proper function of the public economy and of local or national government, business or public services

Serious undermining of the country's international standing

Serious detrimental effect on individuals and/or the community (eg by provision of substandard goods or services resulting from the corrupt behaviour)

Serious environmental impact

Substantial actual or intended financial gain to offender or another or loss caused to others

### **CONSEQUENCE - Category 2 - Medium**

Significant undermining of the proper function of local or national government, business or public services

Significant detrimental effect on individuals and/or the community

Significant environmental impact

Significant actual or intended financial gain to offender or another or loss caused to others

Risk of category 1 harm

**CONSEQUENCE - Category 3 - Lesser**

Limited detrimental impact on individuals, the community, the environment, government, business or public services

Risk of category 2 harm

**CONSEQUENCE - Category 4 - Least**

Risk of category 3 harm

## SECOND STAGE

The second stage is to consider seriousness by assessing the culpability of the offender.

Levels of seriousness may be demonstrated by one or more of the following:

### **SERIOUSNESS – Level A - High**

- A leading role where offending is part of a group activity
- Involvement of others through pressure or influence
- Abuse of position of significant power or trust or responsibility
- Intended corruption (directly or indirectly) of or by a senior official performing a public function
- Intended corruption (directly or indirectly) of or by a law enforcement officer
- Sophisticated nature of offence/significant planning
- Offending conducted over sustained period of time
- Motivated by expectation of substantial financial, commercial or political gain

### **SERIOUSNESS – Level B - Medium**

- A significant role where offending is a group activity
- All other cases where characteristics for levels A or C are not present

### **SERIOUSNESS – Level C - Limited**

- Involved through coercion, intimidation or exploitation
- Minor role in group offending
- Not motivated by personal gain
- Peripheral role in organised activity
- Opportunistic 'one-off' offence, very little or no planning
- Limited awareness or understanding of extent of corrupt activity



**THIRD STAGE**

Having determined the consequence and level of seriousness find the starting point by consulting the grid below.

Maximum sentence is 'x'.

Percentages are approximations and may not divide neatly, so that some adjustment is permissible.

	<b>Seriousness – Level A</b>	<b>Seriousness – Level B</b>	<b>Seriousness – Level C</b>
<b>Consequence - Category 1</b>	Starting point 75% x Range 60%-90% x	Starting point 60% x Range 45%-75% x	Starting point 30% x Range 15%-45% x
<b>Consequence - Category 2</b>	Starting point 60% x Range 45%-75% x	Starting point 40% x Range 25%-55% x	Starting point 20% x Range 5%-35% x
<b>Consequence - Category 3</b>	Starting point 45% x Range 30%–60% x	Starting point 20% x Range 10%-30% x	Starting point 10% x Range Non-custodial-25%
<b>Consequence - Category 4</b>	Starting point 20% x Range 5-35% x	Starting point 10% x Range Non-custodial–25% x	Starting point Likely non-custodial

## FOURTH STAGE

Having determined the starting point, consider the following non-exhaustive list of aggravating and mitigating factors of the offence and adjust upwards or downwards if required, taking care not to double-count factors considered in stages 1 and 2.

### **AGGRAVATING FACTORS** of the offence

- Steps taken to prevent the victim reporting or obtaining assistance from and/or from assisting or supporting the prosecution
- Attempts to conceal/dispose of evidence
- Prevalence
- Community impact
- Offence committed across borders
- Coercion used
- Offence committed to facilitate other criminal activity
- Offence motivated by greed

### **MITIGATING FACTORS** of the offence

- Lack of community impact

## **STEP 2**

Adjust the figure within the range for the aggravating and mitigating factors affecting the offender.

### **AGGRAVATING FACTORS** of offender

- Previous convictions for corruption offences
- Relevant convictions for other offences
- Offence committed whilst on bail

### **MITIGATING FACTORS** of offender

- Good character
- Physical or mental disability or ill-health
- Genuine remorse
- Youth and/or lack of maturity where it explains offending
- Assistance given to the authorities

## **STEP 3**

Credit should be given for a guilty plea as appropriate. A reduction of one-third should be given for a guilty plea entered at the earliest practicable opportunity. Credit will usually reduce significantly the later the plea.

## **STEP 4**

Adjust the figure on assessing totality if sentencing for more than one offence.

### **STEP 5**

Credit must be given for time spent on remand for the relevant offence, to be calculated with precision<sup>10</sup>.

### **STEP 6**

Finally, consider ancillary and restraining orders, confiscation, compensation, etc, if applicable.

## **Pass the Sentence**

The court must give reasons, explaining the construction of the sentence in a way that can be readily understood by the defendant, in particular justifying any departure from the guideline.

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<sup>10</sup> See the requirements for assessing time on remand in **Gomes v The State 2015** UKPC 8, see para 12; **Shonovia Thomas v The Queen** Appeal No.6 of 2010; and **Romeo Da Costa Hall v The Queen 2011** CCJ 6.

## BLACKMAIL – section 6

In the nine member states and territories of the ECSC there are different words used to describe blackmail, (eg the words 'extortion' or 'demanding with menaces' are also used), and there are often different maximum sentences. For the purposes of this guideline, the word 'blackmail' will be used generically to cover all types, and whether as conspiracy or a substantive offence.

### **STEP 1**

The first step in constructing a sentence is to establish the starting point for the offence.

A blackmail case requires an assessment of the seriousness of the offence and its consequences by reference to the harm caused. In assessing seriousness, this should include reference to the culpability of the offender.

To establish the starting point for the offence within the relevant range, there are four stages within Step 1.

## FIRST STAGE

The first stage is to consider consequence by assessing the harm caused by the offence. This should include an assessment of the evidence.

CONSEQUENCE may be demonstrated by one or more of the following, apart from the quantity of money if sought:

- High level of inconvenience caused to the victim or others
- Scale of financial harm to victim or others
- Emotional distress
- Fear or loss of confidence caused by the offence
- Risk of or actual injury to persons or damage to property
- Impact of offence on a business

Categories arising from consequence are as follows:

### **CONSEQUENCE - Category 1 - High**

Serious physical and/or psychological harm caused to the victim

Serious detrimental effect on a business activity

Amount sought is above \$50000

**CONSEQUENCE - Category 2 - Medium**

Significant physical and/or psychological harm caused to the victim

Significant detrimental effect on a business activity

Amount sought is above \$1000 up to \$50000

**CONSEQUENCE - Category 3 - Lesser**

Lesser physical or psychological harm caused to the victim

Lesser detrimental effect on a business activity

Amount sought is \$1000 or less

## SECOND STAGE

The second stage is to consider seriousness by assessing the culpability of the offender.

Levels of seriousness may be demonstrated by one or more of the following:

### **SERIOUSNESS – Level A - High**

- Use of any weapon to inflict or threaten injury
- Substantial duration of offence
- Abuse of power and/or position of trust
- Use of public office as part of the offence
- Offence committed against those working in the public sector or providing a service to the public
- Sophisticated nature of offence
- Targeting tourists and visitors
- Planning and premeditation
- Leading role in a group or gang
- Violence or threats of violence
- Forced entry into victim's home
- Deliberate targeting of vulnerable person
- Victim is a child or young person
- Offence motivated by hostility to sexual orientation, race, religion, disability, or group identity

### **SERIOUSNESS – Level B - Lesser**

- None of the above applies
- Involved through coercion, intimidation or exploitation



**THIRD STAGE**

Having determined the consequence and level of seriousness find the starting point by consulting the grid below.

Maximum sentence is 'x'.

Percentages are approximations and may not divide neatly, so that some adjustment is permissible.

	<b>Seriousness - Level A</b>	<b>Seriousness - Level B</b>
<b>Consequence - Category 1</b>	Starting point 75% x Range 60%-90% x	Starting point 50% x Range 35%-65% x
<b>Consequence - Category 2</b>	Starting point 60% x Range 45%-75% x	Starting point 35% x Range 20%-50% x
<b>Consequence - Category 3</b>	Starting point 45% x Range 30%–60%	Starting point 20% x Range 5%-35%

## FOURTH STAGE

Having determined the starting point, consider the following non-exhaustive list of aggravating and mitigating factors of the offence and adjust upwards or downwards if required, taking care not to double-count factors considered in stages 1 and 2.

### **AGGRAVATING FACTORS** of the offence

- Steps taken to prevent the victim reporting
- Attempts to conceal/dispose of evidence
- Involving others through coercion, intimidation or exploitation
- Prevalence of the offence
- Offence motivated by greed or desire for luxury

### **MITIGATING FACTORS** of the offence

- No advantage gained or loss caused to the victim

## **STEP 2**

Adjust the figure within the range for the aggravating and mitigating factors affecting the offender.

### **AGGRAVATING FACTORS** of offender

- Previous convictions for blackmail offences
- Relevant convictions for other offences
- Offence committed whilst on bail

### **MITIGATING FACTORS** of offender

- Good character
- Physical or mental disability or ill-health
- Genuine remorse, particularly where evidenced by voluntary reparation to the victim
- Steps taken to address offending behavior
- Youth and/or lack of maturity where it explains offending
- Offending motivated by genuinely desperate circumstances
- Assistance given to the authorities

## **STEP 3**

Credit should be given for a guilty plea as appropriate. A reduction of one-third should be given for a guilty plea entered at the earliest practicable opportunity. Credit will usually reduce significantly the later the plea.

## **STEP 4**

Adjust the figure on assessing totality if sentencing for more than one offence. If appropriate, dangerousness should also be considered.

### **STEP 5**

Credit must be given for time spent on remand for the relevant offence, to be calculated with precision<sup>11</sup>.

### **STEP 6**

Finally, consider ancillary and restraining orders, confiscation, compensation, etc, if applicable.

## **Pass the Sentence**

The court must give reasons, explaining the construction of the sentence in a way that can be readily understood by the defendant, in particular justifying any departure from the guideline.

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<sup>11</sup> See the requirements for assessing time on remand in **Gomes v The State 2015** UKPC 8, see para 12; **Shonovia Thomas v The Queen** Appeal No.6 of 2010; and **Romeo Da Costa Hall v The Queen 2011** CCJ 6.

## **FRAUD – section 7**

In the nine member states and territories of the ECSC offences of fraud are varied and include: (i) Abuse of position; (ii) False representations; (iii) Failing to disclose information; (iv) Conversion; (v) Deception; (vi) False Accounting; (vii) Forgery and related offences that involve the intentional use of deception illegally to deprive another person or entity of money, property, or legal rights. It also includes the possession of tools for perpetrating frauds. There are often different maxima. For the purposes of this guideline, the word ‘fraud’ will be used generically to cover all types, and whether as conspiracy or a substantive offence.

### **STEP 1**

The first step in constructing a sentence is to establish the starting point for the offence.

A fraud case requires an assessment of the seriousness of the offence and its consequences by reference to the harm caused. In assessing seriousness, this should include reference to the culpability of the offender.

To establish the starting point for the offence within the relevant range, there are four stages within Step 1.

### **FIRST STAGE**

The first stage is to consider consequence by assessing the harm caused by the offence. Harm is assessed in relation to any impact caused by the offending (whether to identifiable victims or in a wider context) and the actual or intended gain to the offender. In assessing consequence regard should be had to the loss and damage, physical or psychological, caused or intended to be caused, or as risk of harm that might foreseeably have been caused, by the offence. Where an attempt or conspiracy, the court should consider the intended consequence and seriousness.

Risk of harm involves consideration of both the likelihood of harm occurring and the extent of it if it does. Risk of harm is less serious than the same actual harm. Where the offence has caused risk of harm but no (or much less) actual harm, the normal approach is to move to the next category of harm down. This may not be appropriate if either the likelihood or extent of potential harm is particularly high.

Categories arising from consequence are as follows:

**CONSEQUENCE- Category 1 – High**

Serious undermining of the proper function of local or national government, business or public services

Serious detrimental effect on individuals and/or the community

Serious impact on shared sense of honesty

High level of inconvenience caused to the victims or others

Substantial intended financial gain to offender or another or loss caused to others

Actual Loss (above \$250000 in the High Court, above \$10000 in the Magistrates Court)

**CONSEQUENCE - Category 2 - Medium**

Significant undermining of the proper function of local or national government, business or public services

Significant detrimental effect on individuals and/or the community

Significant impact on shared sense of honesty

Significant intended financial gain to offender or another or loss caused to others

Risk of category 1 harm

Actual Loss (\$50000 to \$250000 in the High Court, \$5000 to \$10000 in the Magistrates Court)

**CONSEQUENCE - Category 3 - Lesser**

Limited detrimental impact on individuals, the community, government, business or public services.

Limited intended financial gain to offender or another or loss caused to others

Limited impact on shared sense of honesty

Risk of category 2 harm

Actual Loss (\$10000 up to \$50000 in the High Court, \$500 up to \$5000 in the Magistrates Court)

**CONSEQUENCE – Category 4 - Least**

No impact on individuals, the community, government, business or public services.

Risk of category 3 harm

Actual Loss (up to \$10000 in the High Court, and up to \$500 in the Magistrates Court)

## SECOND STAGE

The second stage is to consider seriousness by assessing the culpability of the offender. Culpability should assess the offender's role and the extent to which the offending was planned and the sophistication with which it was carried out. Levels of culpability may be demonstrated by one or more of the following:

### **SERIOUSNESS – Level A - High**

- Damage to the country's standing in the international community
- Calculated to undermine the whole elaborate system of credit
- Offence involved multiple victims or a series of criminal acts
- A leading role where offending is part of a group activity
- Breach of a high degree of power or trust
- Sophisticated nature of offence/significant planning
- Offending conducted over sustained period of time
- Motivated by expectation of substantial financial, commercial or political gain

### **SERIOUSNESS – Level B - Medium**

- A significant role where offending is part of a group activity
- Some degree of planning involved
- Breach of some degree of trust
- All other cases where characteristics for levels A or C are not present

### **SERIOUSNESS – Level C - Lesser**

- Involved through coercion, intimidation, or exploitation
- Minor role in group offending
- Not motivated by personal gain
- Opportunistic 'one-off' offence, very little or no planning
- Limited awareness or understanding of extent of fraudulent activity



**THIRD STAGE**

Having determined the consequence and culpability find the starting point by consulting the grid below.

Maximum sentence is 'x'.

Percentages are approximations and may not divide neatly, so that some adjustment is permissible.

	<b>Seriousness - Level A</b>	<b>Seriousness - Level B</b>	<b>Seriousness - Level C</b>
<b>Consequence - Category 1</b>	Starting point 75% x Range 60%-90% x	Starting point 60% x Range 45%-75% x	Starting point 50% x Range 35%-65% x
<b>Consequence - Category 2</b>	Starting point 50% x Range 35%-65% x	Starting point 40% x Range 25%-55% x	Starting point 20% x Range 10%-30% x
<b>Consequence - Category 3</b>	Starting point 35% x Range 20%–50% x	Starting point 20% x Range 10%-30% x	Starting point 10% x Range non-custodial-25% x
<b>Consequence - Category 4</b>	Starting point 20% x Range 5%-35% x	Starting point 10% x Range non-custodial–25% x	Starting point 10% x Range non-custodial–25% x

## FOURTH STAGE

Having determined the starting point, consider the following non-exhaustive list of aggravating and mitigating factors of the offence and adjust upwards or downwards if required, taking care not to double-count factors considered in stages 1 and 2.<sup>12</sup>

### **AGGRAVATING FACTORS** of the offence

- Attempts to conceal/dispose of evidence
- Targeting a vulnerable person
- Offence committed across borders
- Degree of sophistication and planning and deception
- Pressure exerted on another party
- Offence committed to facilitate other criminal activity
- Offence motivated by greed or desire for luxury
- Steps taken to prevent the victim reporting or obtaining assistance from and/or from assisting or supporting the prosecution
- Blame wrongly placed on others

### **MITIGATING FACTORS** of the offence

- The loss or damage caused by the offence was minimal
- Voluntary restoration of property or its value.

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<sup>12</sup> In some types of fraud, aggravating factors which are inherent to an offence cannot be taken into account unless they exceed the usual case.

## **STEP 2**

Adjust the figure within the range for the aggravating and mitigating factors affecting the offender.

### **AGGRAVATING FACTORS** of offender

- Previous convictions for dishonesty offences
- Relevant convictions for other offences
- Offence committed whilst on bail

### **MITIGATING FACTORS** of offender

- Good character
- Youth and/or lack of maturity where it explains offending
- Genuine remorse
- Assistance given to the authorities
- Good prospects of rehabilitation, whether by reason of age or otherwise

## **STEP 3**

Credit should be given for a guilty plea as appropriate. A reduction of one-third should be given for a guilty plea entered at the earliest practicable opportunity. Credit will usually reduce significantly the later the plea.

## **STEP 4**

Adjust the figure on assessing totality if sentencing for more than one offence.

**STEP 5**

Credit must be given for time spent on remand for the relevant offence, to be calculated with precision<sup>13</sup>.

**STEP 6**

Finally, consider ancillary and restraining orders, confiscation, compensation, etc, if applicable.

**Pass the Sentence**

The court must give reasons, explaining the construction of the sentence in a way that can be readily understood by the defendant, in particular justifying any departure from the guideline.

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<sup>13</sup> See the requirements for assessing time on remand in **Gomes v The State 2015** UKPC 8, see para 12; **Shonovia Thomas v The Queen** Appeal No.6 of 2010; and **Romeo Da Costa Hall v The Queen 2011** CCJ 6.

## **MONEY LAUNDERING – section 8**

In the nine member states and territories of the ECSC there are different money laundering offences including receiving, possession, concealment, disguise, disposal, conversion, transfer or removal from the islands of the proceeds of crime or their acquisition and use or possession or the facilitation of arrangements for same. There are often different maximum sentences prescribed for these offences within member states and territories. For the purposes of this guideline, the expression 'money laundering' will be used to cover all of the foregoing ways of committing the offence, whether as conspiracy or a substantive offence.

### **STEP 1**

The first step in constructing a sentence is to establish the starting point for the offence.

A money laundering case requires an assessment of the seriousness of the offence and its consequences by reference to the harm caused. In assessing seriousness, this should include reference to the culpability of the offender.

To establish the starting point for the offence within the relevant range, there are four stages within Step 1.

## FIRST STAGE

The first stage is to consider consequence by assessing the harm caused by the offence. This should include an assessment of the evidence.

### **CONSEQUENCE A - VALUE OF THE MONEY LAUNDERED**

- |                   |  |
|-------------------|--|
| <b>Category 1</b> | Above EC\$1million - starting point based on EC\$5million <sup>14</sup>  |
| <b>Category 2</b> | Above EC\$250000 up to EC\$1million - starting point based on EC\$500000 |
| <b>Category 3</b> | EC\$50000 up to EC\$250000 - starting point based on EC\$150000          |
| <b>Category 4</b> | Up to EC\$50000 starting point based on EC\$25000                        |

### **CONSEQUENCE B - ASSOCIATION WITH UNDERLYING OFFENCE**

Money laundering is an integral component of much serious underlying criminality. Where it is possible to identify the underlying offence, the relevant sentencing guidelines for that offence should be regarded. Thus the assessment of harm is concluded by taking into account the level of harm associated with the underlying offence to determine whether it warrants upward or downward adjustment of the starting point within the range or, in appropriate cases, outside the range.

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<sup>14</sup> The starting point is suggested for a sum, as shown, and adjusted up or down if the sum is more or less within the financial range shown.

## SECOND STAGE

The second stage is to consider seriousness by assessing the culpability of the offender.

Levels of seriousness may be demonstrated by one or more of the following:

### **SERIOUSNESS - Level A - High**

- A leading role where offending is part of a group activity
- Significant nature of the offence/significant planning
- Abuse of position of trust
- Substantial links to, and influence on, others in a chain
- Involvement of others through pressure or influence.
- Expectation of substantial financial gain

### **SERIOUSNESS - Level B - Medium**

- Other cases where level A and C characteristics are absent.
- A significant role where offending is a part of group activity.
- Operational or management function within a chain
- Motivated by financial or other advantage, whether or not operating alone
- Some awareness and understanding of scale of operation

### **SERIOUSNESS - Level C - Lesser**

- Performed limited function
- Acted under direction
- Involved by pressure, coercion, intimidation
- Very little, if any, awareness or understanding of the scale of operation
- Not motivated by personal gain
- An isolated, opportunistic act
- Very little or no planning
- No influence on those above in a chain

**THIRD STAGE**

Having determined the degree of culpability and harm find the starting point by consulting the grid below -

Maximum sentence is 'x'.

Percentages are approximations and may not divide neatly, so that some adjustment is permissible.

<b>CONSEQUENCE A</b> (remembering to adjust for <b>CONSEQUENCE B</b> )	<b>SERIOUSNESS - Level A</b>	<b>SERIOUSNESS - Level B</b>	<b>SERIOUSNESS - Level C</b>
<b>Category 1</b>	Starting point 65% x Range 50%-80% x	Starting point 50% x Range 35%-65% x	Starting point 40% x Range 25%-55% x
<b>Category 2</b>	Starting point 50% x Range 35%-65% x	Starting point 40% x Range 25%-55% x	Starting point 35% x Range 20%-50% x
<b>Category 3</b>	Starting point 30% x Range 20%-40% x	Starting point 25% x Range 15%-35% x	Starting point 20% x Range 10%-30% x
<b>Category 4</b>	Starting point 15% x Range 10%-35% x	Starting point 10% Range 5%-30% x	Starting point 5% Range non-custodial-25% x



## FOURTH STAGE

Having determined the starting point, consider the following non-exhaustive list of aggravating and mitigating factors of the offence and adjust upwards or downwards if required, taking care not to double-count factors considered in stages 1 and 2.

### **AGGRAVATING FACTORS** of offence

- Sophisticated nature of concealment and/or attempts to avoid detection
- Transactions/operations committed across borders
- Criminal activity conducted over sustained period of time
- Damage to third party such as loss of employment to legitimate employees
- Funding for terrorism
- Deliberately targeting a member state or territory to facilitate offence.
- Attempts to conceal or dispose of evidence
- Exposure of others to more than usual danger
- Blame wrongly placed on others

### **MITIGATING FACTORS** of offence

- Lack of sophistication as to nature of concealment
- Activity originally legitimate

## **STEP 2**

Adjust the figure within the range for the aggravating and mitigating factors affecting the offender. These may include:

### **AGGRAVATING FACTORS** of offender

- Previous convictions for a money laundering offence
- Recent or relevant convictions for other offences
- Offence committed whilst on bail

### **MITIGATING FACTORS** of offender

- Good character
- Physical or mental disability or ill-health where relevant in explaining offending
- Youth and/or lack of maturity where it explains offending
- Genuine remorse
- Offending motivated by genuinely desperate circumstances
- Assistance given to the authorities

## **STEP 3**

Credit should be given for a guilty plea as appropriate. A reduction of one-third should be given for a guilty plea entered at the earliest practicable opportunity. Credit will usually reduce significantly the later the plea.

**STEP 4**

Adjust the figure on assessing totality if sentencing for more than one offence.

**STEP 5**

Credit must be given for time spent on remand for the relevant offence, to be calculated with precision<sup>1</sup>

**STEP 6**

Finally, consider ancillary orders, confiscation, compensation, etc, if applicable. In addition, consideration should be given to the confiscation of vehicles, boats and other property used or acquired in the commission of the offence

**PASS THE SENTENCE**

The court must give reasons, explaining the construction of the sentence in a way that can be readily understood by the defendant, in particular justifying any departure from the guideline.

## **EFFECTIVE DATE**

This Sentencing Guideline will come into effect on the 12<sup>th</sup> day of April, 2021.

**Made this 6<sup>th</sup> day of April, 2021.**

**Sgd.**

**Dame Janice M. Pereira, DBE  
Chief Justice**

**Sgd.**

**Mde Gertel Thom  
Justice of Appeal**

**Sgd.**

**Mr. Iain Morley  
High Court Judge**